



*M.P. #*  
PATENT  
SP02-038

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant(s):** Michelle D. Fabian  
Shahid G. Lakhawani  
Mia K. Roberts  
**Serial No.:** 10/087,043  
**Filing Date:** February 28, 2002  
**Title:** PARTICULATE SEALANT FOR FILTER  
PLUG FORMING

**SUBMISSION of  
MISSING PARTS**

Group Art Unit: 3626

Examiner:

**SUBMISSION OF MISSING PARTS & SURCHARGE  
UNDER 37 C.F.R. 1.53(d) AND C.F.R. 1.16(e)**

**COPY OF PAPERS  
ORIGINALLY FILED**

Attn: Application Processing Division  
Assistant Commissioner for Patents  
Washington, DC 20231

In reply to the Notice to File Missing Parts of Application, Filing Date Granted, mailed on 4/8/02, filed herewith are:

- ☐ Part 2 - Copy of Notice to File Missing Parts
- ☐ Fee Transmittal Letter - \$130 missing parts fee.
- ☒ Assignment
- ☒ Declaration
- ☒ Power of Attorney by Assignee with copy of Assignment attached
- ☒ Information Disclosure Statement

Corning Incorporated hereby authorizes the Asst. Commissioner to charge payment of any fees associated with this application or credit any overpayment to Corning Incorporated Deposit Account 03-3325.

Respectfully submitted,

DATE: May 16, 2002

Date of Deposit: 5/16/02

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated above with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

Signature

*Anca C. Gheorghiu*  
Anca C. Gheorghiu

*Anca C. Gheorghiu*  
Attorney for Assignee  
Anca C. Gheorghiu  
Reg. No. 44,120  
Corning Incorporated  
SP-TI-03-1  
Corning, NY 14831  
(607) 974-3322



Complete if Known	
Application Number	10/087,043
Filing Date	2/28/02
First Named Inventor	Fabian, Michelle
Examiner Name	To Be Assigned
Group / Art Unit	3626
Attorney Docket Number	SP02-038

TOTAL AMOUNT OF PAYMENT (\$130.00)

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number 03-3325

Deposit Account Name Corning Incorporated

- ☒ Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17

2. ☐ Payment Enclosed.  
☐ Check ☐ Money Order ☐ Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Fee	Fee Description	Fee Paid
101	740	Utility filing fee	
106	330	Design filing fee	
107	510	Plant filing fee	
108	740	Reissue filing fee	
114	160	Provisional filing fee	

SUBTOTAL (1) (\$10)

**2. EXTRA CLAIM FEES**

	Extra Claims	Fee below	Fee Paid
Total Claims	16	- 20** = 0 x 18 =	00.00
Independent Claims	2	- 3** = 0 x 84 =	00.00

Multiple Dependent 0 = 0.00

\*\*or number previously paid, if greater. --or Reissues, see below

Large Entity Fee Code (\$)	Fee	Fee Description
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim, if not paid
109	84	** Reissue independent claims over original patent
110	18	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$00.00)

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Fee	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	\$130
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	390	Extension for reply within second month	
117	890	Extension for reply within third month	
118	1,390	Extension for reply within fourth month	
126	1,890	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,240	Petition to revive - unintentional	
142	1,240	Utility issue fee (or reissue)	
143	440	Design issue fee	
144	600	Plant issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of Information Disclosure Stmt	
581	40	Recording each patent assignment per property (times number of properties) x	
146	710	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
149	710	For each additional invention to be examined (37 C.F.R. § 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$130)

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**SUBMITTED BY**

**Completed (if applicable)**

Name (Print/Type)	Anca C. Gheorghiu	Registration No. (Attorney/Agent)	44,120
Signature	<i>Anca C. Gheorghiu</i>	Date	May 16, 2002

(U.S.)



Attorney Docket No.: SP02-038

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant(s):** Fabian, et al  
**Serial No.:** 16/087,043  
**Filed:** 2/28/02  
**For:** PARTICULATE SEALANT FOR  
FILTER PLUG FORMING

**COMBINED  
CERTIFICATE UNDER  
37 C.F.R. § 3.73(b)  
and  
POWER OF ATTORNEY**

Assistant Commissioner for Patents  
Washington, DC 20231

**CERTIFICATE UNDER 37 C.F.R. § 3.73(b)**

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**CORNING INCORPORATED**, a New York corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above. A true copy of the unrecorded Assignment is attached hereto.

The undersigned has reviewed the above referenced assignment of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING DATE	FILED NAME OF APPLICANT	ATTORNEY DOCKET NUMBER
10/087,043	02/28/2002	Michelle Fabian	SP02-038

22928  
CORNING INCORPORATED  
SP-TI-3-1  
CORNING, NY 14831

CONFIRMATION NO. 6488

## FORMALITIES LETTER



\*00000000007825478\*

Date Mailed: 04/08/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*Trehvici H*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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